Strategies for Dose Optimization: Views From Health Care Systems

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Abstract

**Background:** Advances in CT have facilitated widespread use of medical imaging while increasing patient lifetime exposure to ionizing radiation.

**Purpose:** To describe dose optimization strategies used by health care organizations to optimize radiation dose and image quality.

**Materials and methods:** A qualitative study of semistructured interviews conducted with 26 leaders from 19 health care systems in the United States, Europe, and Japan. Interviews focused on strategies that were used to optimize radiation dose at the organizational level. A directed content analysis approach was used in data analysis.

**Results:** Analysis identified seven organizational strategies used by these leaders for optimizing CT dose: (1) engaging radiologists and technologists, (2) establishing a CT dose committee, (3) managing organizational change, (4) providing leadership and support, (5) monitoring and benchmarking, (6) modifying CT protocols, and (7) changes in equipment and work rules.

**Conclusions:** Leaders in these health systems engaged in specific strategies to optimize CT dose within their organizations. The strategies address challenges health systems encounter in optimizing CT dose at the organizational level and offer an evolving framework for consideration in dose optimization efforts for enhancing safety and use of medical imaging.

**Key Words:** CT, health resources, organizational culture, radiation dosage

**INTRODUCTION**

Advances in medical imaging with CT have led to widespread availability and use of CT imaging to improve health care [1]. Concurrent with this are heightened concerns regarding potential health and safety risks related to increasing lifetime exposure for patients to ionizing radiation [2-6]. Although there is agreement that imaging doses should be as low as reasonably achievable, widespread variation remains in clinical practice across facilities for similar procedures [6,7]. There is little agreement on the best approaches to addressing this issue at the health system or organizational level.

Strategies to improve dose optimization have been implemented, including standardizing protocols, use of dose tracking, dose auditing, and implementation of best practices [8-13]. A recent study comparing the effectiveness of a single strategy, audit feedback, with a multicomponent intervention indicates that dose optimization strategies combining interventions such as detailed audit and feedback with actionable suggestions and quality improvement interventions can significantly...
reduce radiation dose within health systems [14,15]. Multiple component interventions, however, require significant effort, and a wide variety of organizational barriers can hamper successful CT dose optimization efforts at the organizational level [2,16-18].

To better understand the strategies health care leaders are using to optimize radiation dose at the organizational level, we conducted interviews with a diverse set of leaders in health care organizations in the United States and abroad regarding the strategies they have successfully employed to implement change and optimize CT dose within their organizations.

**MATERIALS AND METHODS**

The data for this qualitative study are from semistructured interviews conducted for the Partnership for Dose Study, a multisite randomized controlled trial of quality improvement interventions to optimize CT dose funded by the National Institutes of Health. The study included 19 health care organizations, including 100 imaging facilities in the United States, Europe, and Japan with diverse organizational structures including community hospitals, academic teaching systems, and stand-alone radiology imaging facilities. Primary study results showed significant improvement in improving CT dose [14]. This study was conducted in 2018 and approved and monitored by the local institutional review board and institutional review boards of participating health systems.

**Study Population and Recruitment**

The institutions participating in the study were diverse in size, were academic and private practices, provided different types of services, and were diverse in whether they had full-time medical physicists on staff or shared best practices [7,10]. The study recruited 26 participants identified by principal site investigators from the 19 health care organizations as leaders in their dose optimization. This purposive sampling strategy was used to identify participants with detailed information about dose optimization activities within their organizations [19]. Recruitment included an introductory e-mail from the study principal investigator, follow-up to answer questions, and obtaining informed consent.

**Data Collection**

We conducted 21 semistructured telephone interviews focusing on CT dose optimization efforts; 5 interviews included two participants each. An interview guide containing 13 open-ended questions asked about the approaches or strategies they had used for dose optimization and facilitators and barriers encountered. A single interviewer conducted interviews over 6 months. Interviews were audio-recorded and professionally transcribed, averaging 30 min (range, 19-40).

**Data Analysis**

A directed content analysis approach was used employing the Practice Improvement Model (PIM) as a framework for the analysis [20]. The PIM assesses use of systems and workflow-level strategies for modifying or improving care processes and the organization’s change process capability (Fig. 1) [21]. The PIM, developed as a framework for primary care improvement, was used because no specific model exists for radiology improvement.

NVivo qualitative data analysis software (version 11.4.3 for Mac, QSR International, Melbourne Australia) was used to code and structure the data. Data were independently reviewed by investigators experienced in qualitative analysis who met regularly to systematically identify, analyze, and code emerging patterns, categories, and themes and strategies present in the data. A constant comparative method starting with open coding and using an iterative process was applied in the analysis. Emerging categories and themes and strategies were identified and discussed until consensus was reached on the final coding structure, which was then applied to all data [22]. Inductive thematic saturation was reached in the analysis with no new codes or themes emerging in the final analysis [23]. A study codebook including audit trail, decision points, and coding structure enhanced analytic rigor [24].

**RESULTS**

The 26 participants interviewed included 11 radiologists, 8 medical physicists, 5 CT technologists, and 2...
operational managers or directors from the 19 participating health care organizations who had responsibility or oversight for radiology services in their organization. Participants were predominately male (n = 17, 65%) and White (n = 20, 77%) and had been employed for an average of 9.8 years (range 11 months to 25 years). The pragmatic trial showed an overall reduction in radiation doses, as illustrated by a drop in weekly average abdomen effective dose across all trial sites (Fig. 2), as well as greater than the average decrease in dose by some exemplar institutions (Fig. 3) [14].

Analysis identified seven organizational strategies for dose optimization: (1) engaging radiologists and technologists, (2) establishing a CT dose committee, (3) managing organizational change, (4) providing leadership support, (5) monitoring and benchmarking, (6) modifying CT protocols, and (7) equipment or work rule changes. Five of these strategies were related to change process
capability in the PIM and two to care process structure (Table 1).

Change Process Capability Strategies

Engaging Radiologists and Technologists. Engaging radiologists and technologists, cited by 81% of respondents, was a primary strategy. The work of radiologists is impacted by dose optimization, and engaging radiologists was viewed as a critical element for successful change. As one respondent noted, “I would say to take the time to work with the radiologists, and, I mean, make it a priority.” Respondents discussed either engaging all radiologists or identifying a primary radiologist. Involving all radiologists included having the radiology group sign off on proposed changes. Involving a primary radiologist, a more frequent strategy, involved identifying a radiologist to lead or champion the effort: “Probably, number one thing to do would be get a radiologist involved who’s interested and wants to take an active role in that, because without them you can’t really make a lot of changes.”

Engaging technologists was also viewed as important, with respondents noting the expertise or experience...
technologists bring to the effort: “[O]ur lead tech is heavily involved. We use their experience . . . [and] benefit a lot from their feedback.” Within some organizations, technologists led optimization efforts: “Get a champion, or two . . . lead technologists in the leadership roles who have good experience in CT.” Technologists were also critical to spreading optimization efforts with departments: “[B]y bringing in the lead techs . . . they would be able to then disseminate it to their staff under them.” Respondents also noted the importance of communication between technologists and radiologists for successful change efforts: “[T]here was a lot of communication between the lead tech and radiologist . . . [they] decided how they were going to trial it or any changes that they were going to make.”

**Establishing a CT Dose Committee.** Establishing a CT dose committee, discussed by 73% of respondents, was an important strategy, with many noting their efforts were “driven by our committee.” Respondents discussed the importance of a structured group effort for successful change, by “forming a committee where it’s not left to one person, or unclear who’s doing what” and noting that “it takes a committee or a group of people or a team to make it really happen and keep it going.” Respondents focused on the committee’s importance to organizational change: “[T]he committee that we formed is the most important part. I think that was our big organizational change.” The composition of committees varied from small multidisciplinary groups to large endeavors organized to be “as inclusive as possible” with some committees having up to 30 representatives from multiple departments or divisions “who have expertise and can speak the language of each section.” Committees met regularly setting goals, reviewing protocols, and assessing progress.

**Managing Organizational Change.** Managing organizational change was discussed by 65% of respondents and
involved clear communication, education, and engaging in culture change. Respondents said enhancing communication “established a communication route” between individuals and departments, laying an important foundation: “It’s really important we have a constant interaction between the different professional groups.” Clear, effective communication builds relationships and enhances staff involvement in change efforts, highlighting the importance of “getting everybody on board and getting everybody to cooperate and being on the same page.” Staff education efforts were also important, especially for technologists (“[W]e have formal training and some continuing education”) and when changing protocols (“[W]e had to do quite a bit of education”).

Perhaps the most complex component of managing organizational change was addressing the culture change that comes with it; respondents noted that “optimizing dose is quite a bit of a cultural change process” and “you have to create a culture . . . to work to optimize dose. If you don’t have a culture, you always have barriers.” The culture of an organization (ie, its unique values, behaviors, and ways of interacting) is deeply embedded and resistant to change. These organizations approached culture change though involving or engaging staff; as a respondent noted, “I think they felt more engaged in the process . . . they felt like their job was important and an important part of this.” Policy changes and the use of monetary incentives were also used to change long-standing practices: “[W]hen things are tied to dollars, they’re more likely to get done.”

Providing Leadership Support. Providing leadership support, discussed by 50% of respondents, addressed the importance of leadership to change efforts: “[I]f the organizational leadership . . . is not convinced about this topic, that you should work on dose optimization, then I think it’s very hard to successfully implement change.” Respondents noted that efforts that have “lukewarm leadership buy-in” are not likely to work. Leadership support includes not only clearly stated expressions of support, but “resources where necessary” and “man-hours” to do the work. Respondents noted the importance of leadership that comes from both the department or division and overall organization: “[G]et on the same page as the leadership because without the leadership support . . . you can’t get anything done.” Leadership support denotes both responsibility and authority for a project and provides a clear message about the importance of the effort: “I think it starts with leadership.”

Monitoring and Benchmarking. Monitoring and benchmarking were strategies discussed by 38% of respondents. They highlight using data to drive change; one respondent noted the strategy of “providing data, and having data integrity so that they believe the data. I mean, you know that saying, ‘In God we trust. All others bring data!’” Monitoring data internally and then comparing that data to other organizations as a benchmark can provide new perspective on dose optimization: “I would recommend that someone compares what they’re doing to what everybody else is doing . . . the overall sense before we started all this was that we were doing just fine and this wasn’t a big priority, and it wasn’t, until we started comparing to other benchmarks.” Using data and metrics to guide optimization efforts can provide a clearer picture of where to focus change efforts. For many organizations, the comparison also provides friendly competition to drive organizational change.

Care Process Strategies

Modifying CT Protocols. Modifying CT protocols, cited by 88% of respondents, was a key strategy for optimizing dose. Respondents discussed “harmonizing” or “synchronizing” protocols, leading to increased standardization and less variation. This was particularly true in organizations with a large variation in the number or type of protocols used and in multisite organizations in which protocols vary greatly: “[T]he goal was to have a network sort of standardization that we would have one protocol that we would do at all sites.” Locking protocols was also used to decrease variation: “[T]he first thing we ever did was to lock the protocols and not allow anybody to modify unless we all agree that it needs to be modified.” In some organizations, protocols varied significantly by site and radiologist and were modified continually. One respondent noted that “figuring out why people had access to protocols, and why they were changing them when they really shouldn’t be . . . was pretty easy to solve just by locking down the protocols.” Reviewing protocols on an ongoing basis was seen as a foundational effort in dose optimization. One organization evolved an innovative approach deemed Protocol of the Week: “[E]very week we have one focus protocol that is sent out for all the sites to check their scanners to see if . . . it’s the same or make changes if they need to.”

Equipment and Work Rule Changes. Investing in new equipment and changing work rules were strategies cited by 31% of respondents. New scanning equipment is expensive and hence a less used strategy but can enhance dose optimization though standardizing technology. As respondents noted, “[T]wo different vendors and then four or five different platforms, every protocol is different” and “I would have all the exact same scanners so that I knew . . . and completely understood how every one of those . . . worked.” Newer scanners provide increased opportunities for optimization: “[T]he biggest thing without sacrificing image quality would be newer scanners.” Changes in work rules
was a strategy focusing on developing procedures via manuals, registries, or certifications for employees: “We’ve also created a CT manual that requires everyone who comes to work for our hospital to go to take the CT registry and they also have to be registered technologists.”

**DISCUSSION**

This study describes strategies used by health care organizations in the United States, Europe, and Japan to optimize CT dose imaging. Five of the strategies are related to the change process capability of an organization, including engaging radiologists and technologists, establishing a CT dose committee, managing organizational change, providing leadership support, and monitoring and benchmarking. Two strategies focused on care process structures within the organization, including modify CT protocols and facilitating equipment and work rule changes. Taken together, these seven strategies present a potential framework for health care organizations to consider as they navigate the complex challenge of optimizing CT dose and make meaningful and sustained improvement in the care and safety of patients receiving medical imaging.

Strategies focused on changing practices in health care often start with engaging those most affected to encourage participation in change. In CT dose optimization, radiologists are a principal focus given the impact this has on their day-to-day work. Involving a radiology group or individual radiologist to be involved in change is an important strategy [14,25]. Designating a champion or lead for change is an important component in quality improvement practices. Establishing a CT dose committee also engages the organization and has broad implications. Committees provide structure and focus and set targets and direction for ongoing change efforts. Committees provide a multidisciplinary perspective and formal process for review and decision making to reach consensus and implement solutions. Siegelman and Gress [25] studied the effectiveness of a CT dose optimization committee in a hospital, finding it improved the quality of patient care and fostered a culture of safety and quality improvement. This committee can also assist with another important strategy, managing organizational change.

Managing organizational change encompasses a broad strategy including providing clear communication and education and engaging in culture change to encourage new behaviors and interactions regarding the importance of dose optimization. It provides a foundation to ground successful change efforts, yet is one of the more complex strategies to implement. Communication and behavior within organizations are deeply embedded and resistant to change. Providing clear consistent communication, ongoing education, and staff engagement are key to implement this strategy. Providing leadership support in tandem is also critical for setting a strong foundation for dose optimization as an organizational priority. Solberg et al [15], in studying organizational factors and quality improvement strategies in CT examination, found that having radiology leadership support dose optimization efforts was a critical factor in reducing high-dose examinations. Organizational leadership can provide both focus and authority to move an organization in the direction of change efforts.

Currently, most dose optimization efforts place greater focus on technical issues [26,27]. One of the most used strategies in dose optimization is changing CT protocols [8,9,26,27]. Harmonizing protocols across an organization can set standards for dose levels meeting the needs for diagnostic accuracy and safety. This can assist organizations in better tracking and managing CT dose across an array of scanner types and departments in large health systems. Monitoring and benchmarking, a less discussed but no less important strategy, is a data-driven strategy that is perhaps best represented in audit and review interventions in which dose levels are monitored across time, compared with others, and reviewed for optimization efforts [11]. Demb et al [10] in a study of audit and best practices found the use of institutional audit reports combined with engaging in best practices lowered radiation dose for chest and abdominal CT. Using data and metrics to guide optimization efforts can help direct where to focus improvement efforts and track progress across time. Facilitating equipment and work rule changes, a less discussed and less used strategy, is limited by the costs associated with investing in new CT equipment and efforts required to institute changes on work rules or requirements.

Optimizing CT dose within health care organizations is a complex effort requiring a variety of strategies to reach optimal goals. Strategies employed depend on many organizational factors and barriers that exist to optimization efforts [18]. This study adds to the literature by presenting the perspectives of leaders who have engaged in successful change efforts, demonstrated by the results of the successful randomized clinical trial they participated in to lower CT dose associated with this study [14,15]. There are limitations to this study, however, including its qualitative exploratory nature and small sample size. Other physicians such as cardiologists and urologists may be involved in dose optimization but were not included in our study. However, the study does represent geographic diversity, both national and international, and brings to the fore the voices of leaders in health systems responsible for optimizing CT dose in their organizations.
In conclusion, there is an ongoing interest in the need to address the significant variation in CT dose patients receive within and across health care organizations. However, there has been limited focus on how to successfully approach these change efforts at the organizational level. This study adds to the literature by clarifying strategies for change that taken together form a framework through which to consider the complex issues involved in the organizational change needed to achieve CT dose optimization. Framing these strategies is a further step along the pathway of optimizing CT dose and sustained improvement to enhance the care and safety of patients receiving medical imaging.

**TAKE-HOME POINTS**

- There are seven specific strategies health care leaders have used to successfully address issues of organizational change needed to improve dose optimization at the health system level.
- Five strategies identified focus on the change process capability of an organization including engaging radiologists and technologists, establishing a CT dose committee, managing organizational change, providing leadership support, and monitoring and benchmarking.
- Two of the strategies address workflow issues in the organization including modifying CT protocols and changes in equipment and work rules.

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